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Material Composition Declaration for Electrotechnical Products

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MATERIAL COMPOSITION DECLARATION GUIDE FOR ELECTROTECHNICAL PRODUCTS

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(Formulated under the cognizance of the Joint Industry Materials Declaration Guide International Steering Committee)

Introduction

The electrotechnical industry tracks and discloses specific information about the material composition of its products due to legal and market requirements. In order to obtain this information, the industry must gather information about the composition of products that are purchased from suppliers for incorporation into final products. This affects the entire supply chain worldwide.

Material composition information can help manufacturers among others to:

- satisfy legal and regulatory requirements;
- drive improvements in product design; and
- respond to inquiries from customers, product recyclers and other stakeholders.

To obtain material composition data, many manufacturers have developed material declaration questionnaires (also known as green procurement surveys or supply chain questionnaires) that require suppliers to disclose certain information about the products they sell. These questionnaires usually take the form of a list of banned or restricted materials and substances that the supplier must disclose if they are present in the product. Due to the diversity of information requests and formats, it is difficult for suppliers to manage material declaration requests.

Recognizing the challenges that the entire global electrotechnical industry faces from diverse material composition requests, a workgroup composed of industry representatives developed this material composition declaration guide, which is designed to promote consistent and standardized material declaration requests across the global supply chain.

1 Scope

This Guide applies to products that are supplied to manufacturers of electrotechnical products for incorporation (into their products). It covers materials and substances that may be present in the supplied product, including batteries. It does not apply to process chemicals (i.e. chemicals used and consumed during manufacture) unless those process chemicals constitute part of the finished product, nor does it apply to packaging (e.g. cardboard, plastic tray).

This Guide represents industry-wide consensus on the relevant materials and substances that shall be disclosed by suppliers when those materials and substances are present in products that are incorporated into electrotechnical products. The Guide benefits suppliers and their commercial customers by providing consistency and efficiency to the material declaration process and will promote the development of consistent data exchange formats and tools that will facilitate and improve data transfer along the entire global supply chain. It applies to business-to-business transactions. It is not intended to be used by the general public when making purchasing decisions.

This Guide contains:

- the lists of substances for disclosure when contained in electrotechnical products;
- the threshold levels for substances in electrotechnical products, equal to or above which the quantity of the substance must be disclosed;
- the regulatory requirements that establish threshold levels for electrotechnical products, where appropriate;
- a set of data fields for information exchange.

This Guide does not preclude companies from inquiring about the presence of additional substances when necessary for their needs. However, such requests are outside the scope of this Guide.

2 Use of Guide

This Guide establishes the framework that the industry may use to report a substance content declaration. The Guide establishes the substances to be reported as well as their reporting thresholds, agreed by the industry to govern substance content disclosures for electrotechnical products due to regulatory or market requirements. Criteria justifying the substances to be reported and their threshold levels are provided in chapter 4. Threshold levels may not always indicate regulatory bans or restrictions as substance disclosure is also warranted to support regulatory labeling and/or reporting as well as industry recognized design for environment requirements. Suppliers shall disclose the presence of a substance or material if it exceeds the specified threshold level described in this Guide in their supplied product. Reporting substance content that exceeds these threshold levels is required regardless of whether the supplier's product is eligible for any exemption from regulatory requirements. This substance content disclosure information is relevant because it has been determined that manufacturers and other purchasers require this data in order to determine the status of the supplier's product with respect to applicable regulation, design specifications, or other objectives.

Suppliers shall be prepared to report to their customer's substance categories or substances that are listed in the normative Annex A. The informative Annex B provides additional information and lists members of the substance categories without being comprehensive in most cases. If suppliers lack the substance information that is necessary to respond to customer inquiries that are based on this Guide, suppliers are expected to use this Guide with their own suppliers in order to obtain the necessary information. The recommended set of data fields for reporting purposes is provided in Annex D.

When determining whether it is necessary to report a substance, the following should be considered:

- 1) If a material/substance is present in the product above the threshold level it shall be reported. Where this level is set as a numeric value, the presence of substances shall be reported when the amount present equals or exceeds that value. Where the threshold level is set to "intentionally added", the presence of substances shall be reported when the substance meets the definition of "intentionally added" regardless of quantity. Suppliers shall report such substances when they have knowledge (or with reasonable inquiry should have knowledge) of their presence. Where this level represents a dual reporting threshold ("intentionally added" or a numerical value listed in Table A) follow the flowchart Figure 1 in Annex C to determine if and at what level reporting is required.
- 2) If the reporting of a substance is limited to specific reportable applications (see Table A in Annex A), reporting is required if the substance exceeds the threshold level and if the product falls within these reportable applications. If the supplier is uncertain on applicability of the reportable application to their product, then the presence of the substance should be declared if it exceeds the threshold level in the supplied product.
- 3) In situations where a substance has multiple threshold levels due to varying legal/regulatory requirements for different reportable applications, separate reporting is required for each of the reportable applications for which the threshold level is exceeded.
- 4) If a substance is present in the product below the threshold level, reporting is not required.

3 Terms and Definitions

For the purposes of this Guide the following definitions apply:

Homogeneous material: A material that cannot be mechanically disjointed into different materials.

- The term “homogeneous” means “of uniform composition throughout.” Examples of “homogeneous materials” are individual types of plastics, ceramics, glass, metals, alloys, paper, board, resins and coatings.
- The term “mechanically disjointed” means that the materials can, in principle, be separated by mechanical actions such as: unscrewing, cutting, crushing, grinding and abrasive processes.

Intentionally added: Deliberate use in the formulation of a product where its continued presence is desired to provide a specific characteristic, appearance or quality.

Material: A material is made up of one or more substances (e.g., an alloy is a material, which in turn is made up of a number of substances).

Product: The item that the respondent is supplying to the electrotechnical industry (e.g., assembly, subassembly, component). The term “product” also covers a product family if the products within that family perform the same function and have consistent material declarations.

Note: Under the EU REACH regulation (Registration, Evaluation, Authorization and Restriction of Chemicals) product would be called “article”.

Reportable application: Specific purpose of use that triggers the reporting requirement

Note: This use is defined in the scope of the underlying law or industry standard. Examples are batteries, textiles, wood etc.

Substances: Substances are chemical elements and their compounds e.g., lead (chemical element), lead oxide (compound), polyvinyl chloride (compound). Registry Numbers (RN) of the Chemical Abstracts System of the American Chemical Society (“CAS” numbers) and/or European Chemical (“EC” numbers) are attributed to all chemical elements and most of their compounds and should be used for their identification. CAS numbers and EC numbers are provided (in Annex B) for these substances where known.

Threshold level: Concentration level which defines the limit (equal to or) above which the presence of a substance in a product shall be declared based on the requirements of this Guide.

Numerical threshold levels are provided in weight % (and parts per million, or ppm). The conversion to be used to calculate ppm is $0.1 \% = 1\ 000\ \text{ppm}$.

4 Materials and Substances

This Guide establishes three criteria that determine whether substances shall be declared. The resulting declarable substance list is based on these criteria which the industry has determined justify disclosure when these material/substances are present in electrotechnical products in amounts that exceed their specified threshold levels.

- Criteria 1 – R (Regulated)
Substances that are subject to enacted legislation that (a) prohibits their use; or (b) restricts their use; or (c) requires reporting or results in other regulatory effects (e.g. labeling) and where the substance-specific effective date is currently in effect or scheduled to go into effect at a specific date in the future.
- Criteria 2 – A (For Assessment Only)
Substances that are likely to be subject to enacted legislation where the substance-specific effective dates of the regulatory requirements are uncertain..
- Criteria 3 – I (For Information Only)
Substances that are not regulated but where there is a recognized market requirement for reporting their content in electrotechnical products. Reporting is used to facilitate company assessment regarding widely adopted industry environmental agreements or standards.

The criteria are listed in their order of priority. Substances that might be covered by more than one of these criteria will enter the declarable substance list only once, referring to the criteria with the highest order of priority and its requirements. The fact that a substance is listed in Annex A does not necessarily indicate a ban or restriction of that substance.

The following criteria determine the threshold level for substance/material reporting:

- When a law or regulation exists that sets a content threshold for a substance, the value of concentration set forth in the law serves as threshold level for that substance.
- Threshold levels for a substance are assigned to reportable applications if the law specifies such information.
- Where multiple laws/regulations apply to a substance in a reportable application, the lowest concentration specified among all laws acts as the threshold level.
- When a substance is restricted by laws – none of which specifies a concentration limit in the product or material – “intentionally added” acts as the threshold level.
- For substances falling under Criteria 3 – I, the default threshold level is set to 0.1 % (1 000 ppm) by weight of the product unless a different limit is specified by the industry standard or agreement.

5 Data Format

This Guide establishes the data disclosure framework. The framework contains required data fields as well as optional data fields. Optional fields may be added at the discretion of the requestor. Annex D contains the required and optional data fields.

There are a variety of data formats and tools that companies can use to implement this Guide. These tools could range from a paper form, a computerized spreadsheet, to an xml based e-business solution, such as the IPC-1752 family of reporting standards. This Guide does not dictate the use of any specific tool. Rather, it establishes the minimum data fields (as well as possible optional data fields) that can be used, giving companies the flexibility to select the tool that best meets their business needs. As a result, companies are allowed to add additional data fields not covered by this Guide, but still be consistent with the data format of the Guide.

Annex E contains two examples of a simple material declaration format. The first contains only the required fields. The second example contains the required data fields and the optional negative declaration field. Annex E also references examples of other material declaration formats and tools.

6 Disclaimers

Although this guide and its annexes contain references to legal citations and regulatory limits for certain listed substances, these citations and regulatory limits should not be relied upon for compliance purposes. The annexes also provide examples of expected use and regulatory restrictions and prohibitions relating to the substances. The examples are for reference only and do not constitute a comprehensive reference to all uses, regulations, and prohibitions and should not be used for compliance purposes. Please contact legal counsel for specific compliance requirements. Any use of this Guide, other than uses that are consistent with its stated purpose, are neither sanctioned nor endorsed by CEA, DIGITALEUROPE or JGPSSI. Furthermore, where substances are listed in this Guide, their listing does not infer or constitute an industry judgment as to their safety, environmental or health impacts.

Annex A (Normative) JIG Declarable Substance List

The threshold levels are set by applicable legal requirements that ban or restrict their use or require reporting or labeling. Therefore, assessment as to whether the threshold level has been met must be based on the relevant legal requirements. If a new law establishes a lower threshold for ban, restriction, labeling or reporting purposes; the thresholds and regulatory citations will be revised accordingly. Suppliers can voluntarily report to levels below the thresholds shown in Table A, but this is not required to meet the intent of this Guide.

NOTE 1: Where this level is set as a numeric value, the presence of substances shall be reported when the amount present equals or exceeds that value. Where the threshold level is set to “intentionally added”, the presence of substances shall be reported when the substance meets the definition of “intentionally added” regardless of quantity. Suppliers shall report such substances when they have knowledge (or with reasonable inquiry should have knowledge) of their presence. Where this level represents a dual reporting threshold (“intentionally added” or a numerical value listed in Table A) follow the flowchart Figure 1 in Annex C to determine if and at what level reporting is required.

NOTE 2: For some categories the numeric threshold level specifies a different denominator than the product. In these cases reporting of the substance shall be based on the details provided (e.g. weight percentage of the substance in the material or in a battery).

NOTE 3: The “Key Legal and Regulatory or Industry Standard/Agreement Citation” and “Examples of Use” columns in Table A are for information only.

NOTE 4: The “Key Legal and Regulatory or Industry Standard/Agreement Citation” aims to cite the regulation that is responsible for setting the respective numeric threshold. As “intentionally added” is used as a default in case no numeric threshold is provided in any legislation, none of the legislations cited might specify this threshold. The listing of legislations is not comprehensive. Please consult legal counsel for additional compliance information if necessary.

NOTE 5: In some cases only a subset of the substances in a chemical category is regulated. Please refer to Annex B for details.

NOTE 6: For categories that represent inorganic substances, quantification and reporting in those categories shall be based on the total mass of the inorganic element for the category that is present whether in the form of the pure element or as a compound or alloy of that element in the product being declared. For categories that represent organic substances, quantification and reporting shall be based on the total mass of all relevant compounds in the category that are present in the product being declared. For substances listed in table A with a CAS # quantification and reporting shall be based on the total mass of the respective substance in the product being declared.

Table A – JIG Declarable Substance List

Substance/ Category ¹	CAS #/ EC #	Criteria Rationale for Disclosure 1-R (Regulated) 2-A (For Assessment Only) 3-I (For Information Only)	Key Legal and Regulatory or Industry Standard/Agreement Citation	Reportable Application(s)	Threshold Level (Reporting level)	Examples of Use
Asbestos	See Annex B	R	ANNEX XVII of REACH Regulation (EC) No 1907/2006; US TSCA; Swiss Ordinance on Reduction of Risk from Chemical Products	All	Intentionally added	Insulator, filler, pigment, paint, talc, adiabatic material
Azocolourants and azodyes which form certain aromatic amines ²	Not applicable ²	R	ANNEX XVII of REACH Regulation (EC) No 1907/2006;	Textiles and leather	0.003% by weight (30 ppm) of the finished textile/leather product ²	Pigment, dyes, colorants
Beryllium oxide (BeO) ¹	CAS# 1304-56-9	I	DIGITALEUROPE ³ /CECED/ AeA ⁴ / EERA guidance	All	0.1% by weight (1 000 ppm) of the product	Ceramics
Boric acid ¹	CAS# 10043-35-3 & 11113-50-1 / EC# 233-139-2 & 234-343-4	R	Article 33 and 7.2 of REACH Regulation (EC) No 1907/2006 (Candidate list of SVHC for authorization 18.06.2010)	All	0.1% by weight (1 000 ppm) of the product	Wood veneers/ pressed wooden panels As flame retardant in wood, cotton and other plant derived material
Brominated flame retardants (other than PBBs,PBDEs, or HBCDD)	See Annex B	I	DIGITALEUROPE ³ /CECED/ AeA ⁴ / EERA guidance,	Plastic parts >25 grams other than in printed wiring board assemblies ⁵	0.1% by weight (1 000 ppm) of plastic material	flame retardant for housing, connectors, package molding sealing
Brominated flame retardants (other than PBBs,PBDEs, or HBCDD)	See Annex B	I	IPC-4101 and IEC 61249-2-21	Printed wiring board laminates ⁵	0.09% total bromine content by weight (900 ppm) in the laminates	Printed wiring board laminates

Substance/ Category ¹	CAS #/ EC #	Criteria Rationale for Disclosure 1-R (Regulated) 2-A (For Assessment Only) 3-I (For Information Only)	Key Legal and Regulatory or Industry Standard/Agreement Citation	Reportable Application(s)	Threshold Level (Reporting level)	Examples of Use
Cadmium/cadmium compounds	See Annex B	R	ANNEX XVII of REACH Regulation (EC) No 1907/2006; 2002/95/EC and 2005/618/EC China MII Methods; Korea RoHS; Japan J-MOSS; US/CA SB-20/50	All, except batteries	0.01% by weight (100 ppm) of homogeneous materials	Pigment, anti- corrosion surface treatment, electric and electronic materials, optical material, stabilizer, plating, pigment for resin, fluorescent, electrode, solder, electric contact, contact point, zinc plating, stabilizer for PVC
Cadmium/cadmium compounds	See Annex B	R	Swiss Ordinance on Reduction of Risk from Chemical Products; EU Directive 2006/66/EC	Batteries ⁶	0.0005% by weight (5 ppm) of battery	Batteries
Chromium VI compounds	See Annex B	R	EU Directive 2002/95/EC and 2005/618/EC; ANNEX XVII of REACH Regulation (EC) No 1907/2006; China MII Methods; Korea RoHS; Japan J-MOSS; US/CA SB-20/50	All	0.1% by weight (1 000 ppm) of homogeneous materials	Pigment, paint, ink, catalyst, plating, anti- corrosion surface treatment, dye, paint dryer, paints adhesion enhancement
Cobalt dichloride (CoCl ₂) ¹	CAS# 7646-79-9 EC# 231-589-4	R	Article 33 and 7.2 of REACH Regulation (EC) No 1907/2006 (Candidate list of SVHC for authorization 13.01.2010)	All	0.1% by weight (1 000 ppm) of the product	pneumatic panels to indicate water contamination
Diarsenic pentoxide ¹	CAS# 1303-28-2 EC# 215-116-9	R	Article 33 and 7.2 of REACH Regulation (EC) No 1907/2006 (Candidate list of SVHC for authorization 28.10.2008)	All	0.1% by weight (1 000 ppm) of the product	Glass

Substance/ Category ¹	CAS #/ EC #	Criteria Rationale for Disclosure 1-R (Regulated) 2-A (For Assessment Only) 3-I (For Information Only)	Key Legal and Regulatory or Industry Standard/Agreement Citation	Reportable Application(s)	Threshold Level (Reporting level)	Examples of Use
Diarsenic trioxide ¹	CAS# 1327-53-3 EC# 215-481-4	R	Article 33 and 7.2 of REACH Regulation (EC) No 1907/2006 (Candidate list of SVHC for authorization 28.10.2008)	All	0.1% by weight (1 000 ppm) of the product	Glass
Dibutyltin (DBT) compounds	See Annex B	R	COMMISSION DECISION 2009/425/EC	All	0.1% by weight (1 000 ppm) of tin in a material ⁷	Stabilizer for PVC, curing catalyst for silicone resin and urethane resin
Diocetyl tin (DOT) compounds	See Annex B	R	COMMISSION DECISION 2009/425/EC	(a) textile and leather articles intended to come into contact with the skin, (b) childcare articles (c) two- component room temperature vulcanisation moulding kits (RTV-2 moulding kits)	0.1% by weight (1 000 ppm) of tin in a material ⁷	Stabilizer for PVC, curing catalyst for silicone resin and urethane resin
Dimethyl fumarate ¹	CAS# 624-49-7	R	COMMISSION DECISION 2009/251/EC	All	0.00001% by weight (0.1 ppm) in a material ⁷	Biocide, mold treatment of electronic leather seats, including recliners, massage chairs
Disodium tetraborate, anhydrous ¹	CAS# 1303-96-4, 1330-43-4 & 12179-04-3 / EC# 215-540-4	R	Article 33 and 7.2 of REACH Regulation (EC) No 1907/2006 (Candidate list of SVHC for authorization 18.06.2010)	All	0.1% by weight (1 000 ppm) of the product	Wood veneers/ pressed wooden panels
Fluorinated greenhouse gases (PFC, SF6, HFC)	See Annex B	R	EU Reg. No. 842/2006	All	Intentionally added	Refrigerants, blowing agents, extinguishing agents, cleaning agents, insulating media, caustic gas

Substance/ Category ¹	CAS #/ EC #	Criteria Rationale for Disclosure 1-R (Regulated) 2-A (For Assessment Only) 3-I (For Information Only)	Key Legal and Regulatory or Industry Standard/Agreement Citation	Reportable Application(s)	Threshold Level (Reporting level)	Examples of Use
Formaldehyde ¹	CAS# 50-00-0	R	US/CA CARB Rule	Composite wood (plywood, particle board, MDF) products or components ⁸	Intentionally added	Stereo cabinets, kiosk enclosures
Formaldehyde ¹	CAS# 50-00-0	R	Austria - BGB I 1990/194: Formaldehydverordnung, §2, 12/2/1990; Lithuanian Hygiene Norm HN 96:2000 (Hygiene standards and regulations)	Textiles	0.0075% by weight (75 ppm) of textile product	Textiles
Hexabromocyclododecane (HBCDD) and all major diastereoisomers	See Annex B	R	Article 33 and 7.2 of REACH Regulation (EC) No 1907/2006 (Candidate list of SVHC for authorization 28.10.2008)	All	0.1% by weight (1 000 ppm) of the product	Flame retardant mainly used for expanded polystyrene and some types of fiber
Lead/lead compounds	See Annex B	R	EU Directive 2002/95/EC and 2005/618/EC; ANNEX XVII of REACH Regulation (EC) No 1907/2006; China MII Methods; Korea RoHS; Japan J-MOSS; US/CA SB-20/50	All, except as noted below	0.1% by weight (1 000 ppm) of homogeneous materials	Rubber hardener, pigment, paint, lubricant, plastic stabilizer, materials for battery, free- machining alloy, free- cutting steels, optical materials, X-ray shielding in CRT glass, electrical solder material, mechanical solder materials, curing agent, vulcanizing agent, ferroelectrics, resin stabilizer, plating, metal alloy, resin additive

Substance/ Category ¹	CAS #/ EC #	Criteria Rationale for Disclosure 1-R (Regulated) 2-A (For Assessment Only) 3-I (For Information Only)	Key Legal and Regulatory or Industry Standard/Agreement Citation	Reportable Application(s)	Threshold Level (Reporting level)	Examples of Use
Lead/lead compounds	See Annex B	R	U.S. Consumer Product Safety Improvement Act	Consumer products designed or intended primarily for children 12 years of age or younger	0.03% by weight (300 ppm) of the children's product	Pigment, paint, stabilizer, colorant
Lead/lead compounds	See Annex B	R	U.S. Consumer Product Safety Improvement Act	Paint and similar surface coatings of toys and other articles intended for use by children	0.009% by weight (90 ppm) of surface coating	Pigment, paint, stabilizer, colorant
Lead/lead compounds	See Annex B	R	US/CA Proposition 65 Case law	Cables/cords with thermoset or thermoplastic coatings	0.03% by weight (300 ppm) of surface coating	Cables/cords
Lead/lead compounds	See Annex B	R	EU Directive 2006/66/EC	Batteries ⁶	0.004% by weight (40 ppm) of battery	Batteries
Lead chromate ¹	CAS# 7758-97-6 EC# 231-846-0	R	Article 33 and 7.2 of REACH Regulation (EC) No 1907/2006 (Candidate list of SVHC for authorization 13.01.2010)	All	0.1% by weight (1 000 ppm) of the product	Colorant in plastics; Colorant in paint
Lead chromate molybdate sulphate red (C.I. Pigment Red 104) ¹	CAS# 12656-85-8 EC# 235-759-9	R	Article 33 and 7.2 of REACH Regulation (EC) No 1907/2006 (Candidate list of SVHC for authorization 13.01.2010)	All	0.1% by weight (1 000 ppm) of the product	Colorant in plastics; Colorant in red paint
Lead sulfochromate yellow (C.I. Pigment Yellow 34) ¹	CAS# 1344-37-2 EC# 215-693-7	R	Article 33 and 7.2 of REACH Regulation (EC) No 1907/2006 (Candidate list of SVHC for authorization 13.01.2010)	All	0.1% by weight (1 000 ppm) of the product	Colorant in plastics; Colorant in yellow paint

Substance/ Category ¹	CAS #/ EC #	Criteria Rationale for Disclosure 1-R (Regulated) 2-A (For Assessment Only) 3-I (For Information Only)	Key Legal and Regulatory or Industry Standard/Agreement Citation	Reportable Application(s)	Threshold Level (Reporting level)	Examples of Use
Mercury/mercury compounds	See Annex B	R	Vermont act relating to comprehensive management of exposure to mercury; Rhode Island General Laws 23-24.9 and amendment of 2007; Louisiana Mercury Risk Reduction Act; ANNEX XVII of REACH Regulation (EC) No 1907/2006; EU Directives 2002/95/EC and 2005/618/EC; China MII Methods; Korea RoHS; Japan J-MOSS; US/CA SB-20/50	All, except batteries	Intentionally added or 0.1% (1 000 ppm) at homogeneous material ⁹	Fluorescent bulb, contact point material, pigment, anti-corrosion, switches, antibacterial treatment
Mercury/mercury compounds	See Annex B	R	New York : Battery reduction and elimination N.Y. Env'tl. Conserv. § 27-0719; Taiwan Restrictions on the Manufacture, Import, and Sale of Dry Cell Batteries; China QZHG 1997 No. 4: Regulation on mercury content limitation for batteries; Korea: Law on quality management and control of safety of industrial products Battery regulation; EU Directive 2006/66/EC	Batteries ⁶	0.0001% by weight (1 ppm) of battery	Batteries
Nickel ^{1, 10}	CAS# 7440-02-0	R	ANNEX XVII of REACH Regulation (EC) No 1907/2006	All, where prolonged skin contact is expected ⁸	Intentionally added	Stainless steel, plating; example application for prolonged skin contact is an ear bud (headphone), mobile phone

Substance/ Category ¹	CAS #/ EC #	Criteria Rationale for Disclosure 1-R (Regulated) 2-A (For Assessment Only) 3-I (For Information Only)	Key Legal and Regulatory or Industry Standard/Agreement Citation	Reportable Application(s)	Threshold Level (Reporting level)	Examples of Use
Ozone depleting substances	See Annex B	R	Montreal Protocol EU EC No. 2037/2000 EC 1005/2009 US Clean Air Act	All	Intentionally added	Refrigerant, foaming agent, extinguishant, solvent cleaner
Perchlorates	See Annex B	R	US/CA DTSC Rulemaking	All	0.000006% by weight (0.006 ppm) of the product	Coin cell batteries
Perfluorooctane sulfonate (PFOS)	See Annex B	R	ANNEX XVII of REACH Regulation (EC) No 1907/2006 and Commission Regulation (EC) No 552/2009; Canadian Environmental Protection Act SOR/SOR/2008-178	All	Intentionally added	Antistatic agent for films and plastics
Phenol,2-(2H-benzotriazol-2-yl)- 4,6-bis(1,1-dimethylethyl) ¹	CAS# 3846-71-7	R	Japan Law concerning the evaluation of chemical substances	All	Intentionally added	Adhesives, paints, printing inks, plastics, inked ribbons, putty, caulking or sealing fillers
Bis (2-ethylhexyl) phthalate (DEHP) ¹	CAS# 117-81-7 EC# 204-211-0	R	Article 33 and 7.2 of REACH Regulation (EC) No 1907/2006 (Candidate list of SVHC for authorization 28.10.2008)	All	0.1% by weight (1 000 ppm) of the product	Plasticizer, dye, pigment, paint, ink, adhesive, lubricant
Dibutyl phthalate (DBP) ¹	CAS# 84-74-2 EC# 201-557-4	R	Article 33 and 7.2 of REACH Regulation (EC) No 1907/2006 (Candidate list of SVHC for authorization 28.10.2008)	All	0.1% by weight (1 000 ppm) of the product	Plasticizer, dye, pigment, paint, ink, adhesive, lubricant
Benzyl butyl phthalate (BBP) ¹	CAS# 85-68-7 EC# 201-622-7	R	Article 33 and 7.2 of REACH Regulation (EC) No 1907/2006 (Candidate list of SVHC for authorization 28.10.2008)	All	0.1% by weight (1 000 ppm) of the product	Plasticizer, dye, pigment, paint, ink, adhesive, lubricant

Substance/ Category ¹	CAS #/ EC #	Criteria Rationale for Disclosure 1-R (Regulated) 2-A (For Assessment Only) 3-I (For Information Only)	Key Legal and Regulatory or Industry Standard/Agreement Citation	Reportable Application(s)	Threshold Level (Reporting level)	Examples of Use
Diisobutyl phthalate (DIBP) ¹	CAS# 84-69-5 EC# 201-553-2	R	Article 33 and 7.2 of REACH Regulation (EC) No 1907/2006 (Candidate list of SVHC for authorization 13.01.2010)	All	0.1% by weight (1 000 ppm) of the product	plasticizer, dye, pigment, paint, ink, adhesive, lubricant
Selected Phthalates Group 1 (BBP, DBP, DEHP)	See Annex B	R	ANNEX XVII of REACH Regulation (EC) No 1907/2006; U.S. Consumer Product Safety Improvement Act	Children's toy or child care article	0.1% by weight (1 000 ppm) in plasticized material ¹¹	Plasticizer, dye, pigment, paint, ink, adhesive, lubricant
Selected Phthalates Group 2 (DIDP, DINP, DNOP)	See Annex B	R	ANNEX XVII of REACH Regulation (EC) No 1907/2006; U.S. Consumer Product Safety Improvement Act	Children's toy or child care article that can be placed in a child's mouth	0.1% by weight (1 000 ppm) in plasticized material ¹¹	Plasticizer, dye, pigment, paint, ink, adhesive, lubricant
Polybrominated biphenyls (PBBs)	See Annex B	R	EU Directive 2002/95/EC and 2005/618/EC; China MII Methods; Korea RoHS; Japan J-MOSS	All	0.1% by weight (1 000 ppm) in homogeneous material	Flame retardant
Polybrominated diphenylethers (PBDEs)	See Annex B	R	EU Directive 2002/95/EC and 2005/618/EC; China MII Methods; Korea RoHS; Japan J-MOSS	All	0.1% by weight (1 000 ppm) in homogeneous material	Flame retardant
Polychlorinated biphenyls (PCBs) and specific substitutes	See Annex B	R	Japan Law concerning the evaluation of chemical substances; ANNEX XVII of REACH Regulation (EC) No 1907/2006; US TSCA.	All	Intentionally added	Insulation oil, lubricant oil, electrical insulation medium, solvent, electrolytic solution; plasticizers, fire retardants, coatings for electrical wire and cable, dielectric sealants

Substance/ Category ¹	CAS #/ EC #	Criteria Rationale for Disclosure 1-R (Regulated) 2-A (For Assessment Only) 3-I (For Information Only)	Key Legal and Regulatory or Industry Standard/Agreement Citation	Reportable Application(s)	Threshold Level (Reporting level)	Examples of Use
Polychlorinated terphenyls (PCTs)	See Annex B	R	Japan Law concerning the evaluation of chemical substances; ANNEX XVII of REACH Regulation (EC) No 1907/2006; US TSCA.	All	Intentionally added	Insulation oil, lubricant oil, electrical insulation medium, solvent, electrolytic solution; plasticizers, fire retardants, coatings for electrical wire and cable, dielectric sealants
Polychlorinated naphthalenes (more than 3 chlorine atoms)	See Annex B	R	Japan Law concerning the evaluation of chemical substances	All	Intentionally added	Lubricant, paint, stabilizer (electric characteristic, flame- resistant, water- resistant) insulator, flame retardant
Polyvinyl chloride (PVC)	See Annex B	I	IEEE1680 (EPEAT: Electronic Product Environmental Assessment Tool)	All	0.1% by weight (1 000 ppm) of the product	Insulator, chemical resistance, transparency, sheath material
Radioactive substances	See Annex B	R	EU-D 96/29/Euratom; Japan Law for the Regulation of Nuclear Source Material, Nuclear Fuel Material, and Reactors, 1986; US NRC	All ⁸	Intentionally added	Optical properties (thorium), measuring devices, gauges, detector
Refractory Ceramic Fibres, Aluminosilicate	See Annex B	R	Article 33 and 7.2 of REACH Regulation (EC) No 1907/2006 (Candidate list of SVHC for authorization 13.01.2010),	All	0.1% by weight (1 000 ppm) of the product	Insulation in high-temp test equipment
Refractory Ceramic Fibres, Zirconia Aluminosilicate	See Annex B	R	Article 33 and 7.2 of REACH Regulation (EC) No 1907/2006 (Candidate list of SVHC for authorization 13.01.2010),	All	0.1% by weight (1 000 ppm) of the product	Insulation in high-temp test equipment

Substance/ Category ¹	CAS #/ EC #	Criteria Rationale for Disclosure 1-R (Regulated) 2-A (For Assessment Only) 3-I (For Information Only)	Key Legal and Regulatory or Industry Standard/Agreement Citation	Reportable Application(s)	Threshold Level (Reporting level)	Examples of Use
Shortchain chlorinated paraffins (C10 – C13)	See Annex B	R	Article 33 and 7.2 of REACH Regulation (EC) No 1907/2006 (Candidate list of SVHC for authorization 28.10.2008), Norway Product Regulations FOR-2004-06-01- 922; Swiss Ordinance on Reduction of Risk from Chemical Products	All	0.1% by weight (1 000 ppm) of the product	Plasticizer for PVC, flame retardant
Tetraboron disodium heptaoxide, hydrate ¹	CAS# 12267-73-1/ EC# 235-541-3	R	Article 33 and 7.2 of REACH Regulation (EC) No 1907/2006 (Candidate list of SVHC for authorization 18.06.2010)	All	0.1% by weight (1 000 ppm) of the product	Wood veneers/ pressed wooden panels
Tri-substituted organostannic compounds	See Annex B	R	Commission Decision 2009/425/EC; Japan Law concerning the evaluation of chemical substances	All	0.1% by weight (1 000 ppm) of tin in a material ⁷	Stabilizer, antioxidant, antibacterial and antifungal agents, antifoulant, antiseptic, anti-fungal agent, paint, pigment, antistaining
Tributyl tin oxide (TBTO) ¹	CAS# 56-35-9 EC# 200-268-0	R	Japan Law concerning the evaluation of chemical substances Article 33 and 7.2 of REACH Regulation (EC) No 1907/2006 (Candidate list of SVHC for authorization 28.10.2008)	All	Intentionally added or 0.1% by weight (1 000 ppm) of the product ⁹	Antiseptic, antifungal agent, paint, pigment, antistaining, refrigerant, foaming agent, extinguishant, solvent cleaner

Substance/ Category ¹	CAS #/ EC #	Criteria Rationale for Disclosure 1-R (Regulated) 2-A (For Assessment Only) 3-I (For Information Only)	Key Legal and Regulatory or Industry Standard/Agreement Citation	Reportable Application(s)	Threshold Level (Reporting level)	Examples of Use
Tris (2-chloroethyl) phosphate (TCEP) ¹	CAS# 115-96-8 EC# 204-118-5	R	Article 33 and 7.2 of REACH Regulation (EC) No 1907/2006 (Candidate list of SVHC for authorization 13.01.2010)	All	0.1% by weight (1 000 ppm) of the product	Flame retardant

¹ When a substance is listed in Table A with CAS number, then the reporting applies to the substance with that specific CAS number only.

² The European Community's ban applies to azo colorants and azodyes that by reductive cleavage of azo groups may release one of the aromatic amines listed in Annex B. The threshold level given applies to these amines, not to the azo colorants and azodyes.

³ Formerly known as EICTA

⁴ Now part of TechAmerica

⁵ A printed wiring board laminate refers to the layered board materials excluding surface finishes and components whereas a printed wiring board assembly refers to an assembly that uses a printed wiring board laminate for component mounting and interconnecting purposes.

⁶ The battery reporting threshold level is based on the strictest known legal requirement. However, for simplification, the same reporting threshold level is set for all kind of batteries, even if the underlying legal requirement is only applicable for only one specific battery type.

⁷ Commission Decision 2009/425/EC defines a concentration limit of 0.1% by weight of tin in the article or part thereof. Likewise Commission Decision 2009/251/EC defines a concentration limit of 0.00001% by weight of DMF in the product or part of the product. Because no legal definition of part is provided in these legislations, the most potentially restrictive concentration limit is not adequately specified. Therefore, the concentration limit is applied at the level of a material vs. a part to ensure disclosure of the regulated substances for the most basic unit of a part.

⁸ Regulatory thresholds for substances in these applications are based on emission or exposure limits rather than on the concentration in the product. The regulatory limits are:
 Formaldehyde in composite wood products - 0.08 ppm until 2010 (measured as gaseous emission from product);
 For Nickel in applications of prolonged skin contact - 0.5 micrograms/sq cm/week per DIN EN 1811;
 Radioactive substances - a dose rate exceeding 1 µSv h⁻¹ at a distance of 0,1 m.
 Because emission and exposure levels cannot be derived from actual concentrations, a threshold level of "intentionally added" is indicated for reporting. Suppliers may choose to report a default concentration of 0.1% by weight in the product for these substances, in lieu of determining the exact concentrations in their products, to indicate that the substance is known to be present in their product, as the actual concentration in the product is not informative for regulatory compliance assessment.

⁹ See Annex C for clarification of how the two reporting thresholds apply.

¹⁰ Nickel must be reported in certain regulated applications where it is likely to result in prolonged skin exposure (e.g., an outer enclosure for a portable electronic product designed to be carried). Use of nickel or nickel contained in components and parts designed to be located inside the outer enclosure of a product need not be reported.

¹¹ The threshold level here is the sum of the phthalate concentrations of the phthalates (identified in the respective Annex B tables) in the selected phthalate group designated by the Substance/Category.

Annex B (Informative) Detailed Substance Lists with CAS Numbers and/or EC Numbers

These lists are typically not comprehensive; they represent examples of chemicals listing CAS numbers and/or EC numbers if applicable or available. In case the list is complete (and the reporting requirement is limited to those substances listed) this is indicated in a note below the respective substance category.

TABLE — Asbestos

Asbestos	CAS Numbers
Asbestos	1332-21-4
Actinolite	77536-66-4
Amosite (Grunerite)	12172-73-5
Anthophyllite	77536-67-5
Chrysotile	12001-29-5
Crocidolite	12001-28-4
Tremolite	77536-68-6

TABLE— Azocolorants and azodyes which form certain aromatic amines

Aromatic Amines	CAS Numbers
Biphenyl-4-ylamine	92-67-1
Benzidine	92-87-5
4-chloro-o-toluidine	95-69-2
2-naphthylamine	91-59-8
o-aminoazotoluene	97-56-3
5-nitro-o-toluidine	99-55-8
4-chloroaniline	106-47-8
4-methoxy-m-phenylenediamine	615-05-4
4,4'-methylenedianiline	101-77-9
3,3'-dichlorobenzidine	91-94-1
3,3'-dimethoxybenzidine	119-90-4
3,3'-dimethylbenzidine	119-93-7
4,4'-methylenedi-o-toluidine	838-88-0
6-methoxy-m-toluidine	120-71-8
4,4'-methylene-bis(2-chloroaniline)	101-14-4
4,4'-oxydianiline	101-80-4
4,4'-thiodianiline	139-65-1
o-toluidine	95-53-4
4-methyl-m-phenylenediamine	95-80-7
2,4,5-trimethylaniline	137-17-7
o-anisidine	90-04-0
4-amino azobenzene	60-09-3

Note: The European Community's ban applies to azocolorants and azodyes that by reductive cleavage of azo groups may release one of the above 22 aromatic amines.

TABLE — Brominated Flame Retardants (other than PBBs, PBDEs or HBCDD)

Brominated Flame Retardants (other than PBBs, PBDEs or HBCDD)	CAS Numbers
Brominated flame retardant which comes under notation of ISO 1043-4 code number FR(14) [Aliphatic/alicyclic brominated compounds]	-
Brominated flame retardant which comes under notation of ISO 1043-4 code number FR(15) [Aliphatic/alicyclic brominated compounds in combination with antimony compounds]	-
Brominated flame retardant which comes under notation of ISO 1043-4 code number FR(16) [Aromatic brominated compounds excluding brominated diphenyl ether and biphenyls]	-
Brominated flame retardant which comes under notation of ISO 1043-4 code number FR(17) [Aromatic brominated compounds excluding brominated diphenyl ether and biphenyls] in combination with antimony compounds]	-
Brominated flame retardant which comes under notation of ISO 1043-4 code number FR(22) [Aliphatic/alicyclic chlorinated and brominated compounds]	-
Brominated flame retardant which comes under notation of ISO 1043-4 code number FR(42) [Brominated organic phosphorus compounds]	-
Poly(2,6-dibromo-phenylene oxide)	69882-11-7
Tetra-decabromo-diphenoxy-benzene	58965-66-5
1,2-Bis(2,4,6-tribromo-phenoxy) ethane	37853-59-1
3,5,3',5'-Tetrabromo-bisphenol A (TBBA)	79-94-7
TBBA, unspecified	30496-13-0
TBBA-epichlorhydrin oligomer	40039-93-8
TBBA-TBBA-diglycidyl-ether oligomer	70682-74-5
TBBA carbonate oligomer	28906-13-0
TBBA carbonate oligomer, phenoxy end capped	94334-64-2
TBBA carbonate oligomer, 2,4,6-tribromo-phenol terminated	71342-77-3
TBBA-bisphenol A-phosgene polymer	32844-27-2
Brominated epoxy resin end-capped with tribromophenol	139638-58-7
Brominated epoxy resin end-capped with tribromophenol	135229-48-0
TBBA-(2,3-dibromo-propyl-ether)	21850-44-2
TBBA bis-(2-hydroxy-ethyl-ether)	4162-45-2
TBBA-bis-(allyl-ether)	25327-89-3
TBBA-dimethyl-ether	37853-61-5
Tetrabromo-bisphenol S	39635-79-5
TBBS-bis-(2,3-dibromo-propyl-ether)	42757-55-1
2,4-Dibromo-phenol	615-58-7
2,4,6-tribromo-phenol	118-79-6
Pentabromo-phenol	608-71-9
2,4,6-Tribromo-phenyl-allyl-ether	3278-89-5
Tribromo-phenyl-allyl-ether, unspecified	26762-91-4
Bis(methyl)tetrabromo-phthalate	55481-60-2
Bis(2-ethylhexyl)tetrabromo-phthalate	26040-51-7
2-Hydroxy-propyl-2-(2-hydroxy-ethoxy)-ethyl-TBP	20566-35-2
TBPA, glycol-and propylene-oxide esters	75790-69-1
N,N'-Ethylene -bis-(tetrabromo-phthalimide)	32588-76-4
Ethylene-bis(5,6-dibromo-norbornane-2,3-dicarboximide)	52907-07-0
2,3-Dibromo-2-butene-1,4-diol	3234-02-4
Dibromo-neopentyl-glycol	3296-90-0
Dibromo-propanol	96-13-9
Tribromo-neopentyl-alcohol	36483-57-5
Poly tribromo-styrene	57137-10-7

Brominated Flame Retardants (other than PBBs, PBDEs or HBCDD)	CAS Numbers
Tribromo-styrene	61368-34-1
Dibromo-styrene grafted PP	171091-06-8
Poly-dibromo-styrene	31780-26-4
Bromo-/Chloro-paraffins	68955-41-9
Bromo-/Chloro-alpha-olefin	82600-56-4
Vinylbromide	593-60-2
Tris-(2,3-dibromo-propyl)-isocyanurate	52434-90-9
Tris(2,4-Dibromo-phenyl) phosphate	49690-63-3
Tris(tribromo-neopentyl) phosphate	19186-97-1
Chlorinated and brominated phosphate ester	125997-20-8
Pentabromo-toluene	87-83-2
Pentabromo-benzyl bromide	38521-51-6
1,3-Butadiene homopolymer, brominated	68441-46-3
Pentabromo-benzyl-acrylate, monomer	59447-55-1
Pentabromo-benzyl-acrylate, polymer	59447-57-3
Decabromo-diphenyl-ethane	84852-53-9
Tribromo-bisphenyl-maleinimide	59789-51-4
Tetrabromo-cyclo-octane	31454-48-5
1,2-Dibromo-4-(1,2 dibromo-methyl)-cyclo-hexane	3322-93-8
Tetrabromophthalic acid Na salt	25357-79-3
Tetrabromo phthalic-anhydride	632-79-1
Octabromo-1,1,3-trimethyl-1-phenylindane (FR-1808)	155613-93-7
Other Brominated Flame Retardants	-

TABLE — Cadmium/Cadmium Compounds

Cadmium/Cadmium Compounds	CAS Numbers
Cadmium	7440-43-9
Cadmium oxide	1306-19-0
Cadmium sulfide	1306-23-6
Cadmium chloride	10108-64-2
Cadmium sulfate	10124-36-4
Other cadmium compounds	-

TABLE — Chromium VI Compounds

Chromium VI Compounds	EC Numbers	CAS Numbers
Chromium (VI) oxide		1333-82-0
Barium chromate		10294-40-3
Calcium chromate		13765-19-0
Chromium trioxide		1333-82-0
Lead (II) chromate	231-846-0	7758-97-6
Lead chromate molybdate sulphate red	235-759-9	12656-85-8
Lead sulfochromate yellow	215-693-7	1344-37-2
Sodium chromate		7775-11-3
Sodium dichromate		10588-01-9
Strontium chromate		7789-06-2
Potassium dichromate		7778-50-9
Potassium chromate		7789-00-6
Zinc chromate		13530-65-9
Other chromium VI compounds		-

TABLE — Dibutyltin Compounds (DBT)

Dibutyltin Compounds	CAS Numbers
Dibutyltin oxide	818-08-6
Dibutyltin diacetate	1067-33-0
Dibutyltin dilaurate	77-58-7
Dibutyltin maleate	78-04-6
Other dibutyltin compounds	-

TABLE — Dioctyltin Compounds (DOT)

Dioctyltin Compounds	CAS Numbers
Dioctyl Tin Oxide	870-08-6
Dioctyltin dilaurate	3648-18-8
Other Dioctyltin compounds	-

**TABLE – Fluorinated Greenhouse Gases
Perfluorocarbons (PFC), Sulfur hexafluoride (SF6) & Hydrofluorocarbons (HFC)**

Fluorinated Greenhouse Gases	CAS Numbers
Tetrafluoromethane (Carbon tetrafluoride, PFC-14)	75-73-0
Hexafluoroethane (PFC-116)	76-16-4
Octafluoropropane (PFC-218)	76-19-7
Decafluorobutane (PFC-31-10)	355-25-9
Dodecafluoropentane (PFC-41-12)	678-26-2
Tetradecafluorohexane (PFC-51-14)	355-42-0
Octafluorocyclobutane (PFC-c318)	115-25-3
Sulfur Hexafluoride (SF6)	2551-62-4
Trifluoromethane (HFC-23)	75-46-7
Difluoromethane (HFC-32)	75-10-5
Methyl fluoride (HFC-41)	593-53-3
2H,3H-Decafluoropentane (HFC-43-10mee)	138495-42-8
Pentafluoroethane (HFC-125)	354-33-6
1,1,2,2-Tetrafluoroethane (HFC-134)	359-35-3
1,1,1,2-Tetrafluoroethane (HFC-134a)	811-97-2
1,1-Difluoroethane (HFC-152a)	75-37-6
1,1,2-Trifluoroethane (HFC-143)	430-66-0
1,1,1-Trifluoroethane (HFC-143a)	420-46-2
2H-Heptafluoropropane (HFC-227ea)	431-89-0
1,1,1,2,2,3-Hexafluoro-propane (HFC-236cb)	677-56-5
1,1,1,2,3,3-Hexafluoropropane (HFC-236ea)	431-63-0
1,1,1,3,3,3-Hexafluoropropane (HFC-236fa)	690-39-1
1,1,2,2,3-Pentafluoropropane (HFC-245ca)	679-86-7
1,1,1,3,3-Pentafluoropropane (HFC-245fa)	460-73-1
1,1,1,3,3-Pentafluorobutane (HFC-365mfc)	406-58-6

Note: The reporting requirement refers to the sum of just those substances listed above

TABLE – Hexabromocyclododecane (HBCDD)

HBCDD and all Major Diastereoisomers	EC Numbers	CAS Numbers
Hexabromocyclododecane (HBCDD)	247-148-4 and 221-695-9	25637-99-4 and 3194-55-6
α -hexabromocyclododecane	NA	134237-50-6
β -hexabromocyclododecane	NA	134237-51-7
γ -hexabromocyclododecane	NA	134237-52-8

Note: The reporting requirement refers to the sum of just those substances listed above

TABLE — Lead/lead Compounds

Lead/lead Compounds	EC Numbers	CAS Numbers
Lead		7439-92-1
Lead (II) sulfate		7446-14-2
Lead (II) carbonate		598-63-0
Lead (II) chromate	231-846-0	7758-97-6
Lead chromate molybdate sulphate red	235-759-9	12656-85-8
Lead hydrocarbonate		1319-46-6
Lead acetate		301-04-2
Lead (II) acetate, trihydrate		6080-56-4
Lead phosphate		7446-27-7
Lead selenide		12069-00-0
Lead (IV) oxide		1309-60-0
Lead (II,IV) oxide		1314-41-6
Lead (II) sulfide		1314-87-0
Lead (II) oxide		1317-36-8
Lead (II) carbonate basic		1319-46-6
Lead hydroxidcarbonate		1344-36-1
Lead (II) phosphate		7446-27-7
Lead sulfochromate yellow	215-693-7	1344-37-2
Lead (II) titanate		12060-00-3
Lead sulfate, sulphuric acid, lead salt		15739-80-7
Lead sulphate, tribasic		12202-17-4
Lead stearate		1072-35-1
Other lead compounds		-

TABLE — Mercury /Mercury Compounds

Mercury /Mercury Compounds	CAS Numbers
Mercury	7439-97-6
Mercuric chloride	33631-63-9
Mercury (II) chloride	7487-94-7
Mercuric sulfate	7783-35-9
Mercuric nitrate	10045-94-0
Mercuric (II) oxide	21908-53-2
Mercuric sulfide	1344-48-5
Other mercury compounds	-

TABLE — Ozone Depleting Substances
Chlorofluorocarbons (CFC), Halons, Hydrobromofluorocarbons (HBFC),
Hydrochlorofluorocarbons (HCFC) and others

Ozone Depleting Substances*	CAS Numbers
Trichlorofluoromethane (CFC-11)	75-69-4
Dichlorodifluoromethane (CFC-12)	75-71-8
Chlorotrifluoromethane (CFC-13)	75-72-9
Pentachlorofluoroethane (CFC-111)	354-56-3
Tetrachlorodifluoroethane (CFC-112)	76-12-0
1,1,2,2-Tetrachloro-1,2-difluoroethane (CFC-112)	76-12-0
1,1,1,2-Tetrachloro-2,2-difluoroethane (CFC-112a)	76-11-9
Trichlorotrifluoroethane (CFC-113)	76-13-1,
1,1,2-Trichloro-1,2,2 trifluoroethane (CFC-113)	76-13-1
1,1,1-Trichloro-2,2,2 trifluoroethane (CFC-113a)	354-58-5
Dichlorotetrafluoroethane (CFC-114)	76-14-2
Monochloropentafluoroethane (CFC-115)	76-15-3
Heptachlorofluoropropane (CFC-211)	422-78-6
	135401-87-5
1,1,1,2,2,3,3-Heptachloro-3-fluoropropane (CFC-211aa)	422-78-6
1,1,1,2,2,3,3-Heptachloro-2-fluoropropane (CFC-211ba)	422-81-1
Hexachlorodifluoropropane (CFC-212)	3182-26-1

Ozone Depleting Substances*	CAS Numbers
Pentachlorotrifluoropropane (CFC-213)	2354-06-5 134237-31-3
Tetrachlorotetrafluoropropane (CFC-214)	29255-31-0
1,2,2,3-Tetrachloro-1,1,3,3-tetrafluoropropane (CFC-214aa)	2268-46-4
1,1,1,3-Tetrachloro-2,2,3,3-tetrafluoropropane (CFC-214cb)	-
Trichloropentafluoropropane (CFC-215)	1599-41-3
1,2,2-Trichloropentafluoropropane (CFC-215aa)	1599-41-3
1,2,3-Trichloropentafluoropropane (CFC-215ba)	76-17-5
1,1,2-Trichloropentafluoropropane (CFC-215bb)	-
1,1,3-Trichloropentafluoropropane (CFC-215ca)	-
1,1,1-Trichloropentafluoropropane (CFC-215cb)	4259-43-2
Dichlorohexafluoropropane (CFC-216)	661-97-2
Chloroheptafluoropropane (CFC-217)	422-86-6
Bromochloromethane (Halon-1011)	74-97-5
Dibromodifluoromethane (Halon-1202)	75-61-6
Bromochlorodifluoromethane (Halon-1211)	353-59-3
Bromotrifluoromethane (Halon-1301)	75-63-8
Dibromotetrafluoroethane (Halon-2402)	124-73-2
Tetrachloromethane (carbon tetrachloride)	56-23-5
1,1,1-Trichloroethane (methylchloroform)	71-55-6
Bromomethane (methyl bromide)	74-83-9
Bromoethane (ethyl bromide)	74-96-4
1-Bromopropane (n-propyl bromide)	106-94-5
Trifluoriodomethane (trifluoromethyl iodide)	2314-97-8
Chloromethane (methyl chloride)	74-87-5
Dibromofluoromethane (HBFC-21 B2)	1868-53-7
Bromodifluoromethane (HBFC-22 B1)	1511-62-2
Bromofluoromethane (HBFC-31 B1)	373-52-4
Tetrabromofluoroethane (HBFC-121 B4)	306-80-9
Tribromodifluoroethane (HBFC-122 B3)	-
Dibromotrifluoroethane (HBFC-123 B2)	354-04-1
Bromotetrafluoroethane (HBFC-124 B1)	124-72-1
Tribromofluoroethane (HBFC-131 B3)	-
Dibromodifluoroethane (HBFC-132 B2)	75-82-1
Bromotrifluoroethane (HBFC-133 B1)	421-06-7
Dibromofluoroethane (HBFC-141 B2)	358-97-4
Bromodifluoroethane (HBFC-142 B1)	420-47-3
Bromofluoroethane (HBFC-151 B1)	762-49-2
Hexabromofluoropropane (HBFC-221 B6)	-
Pentabromodifluoropropane (HBFC-222 B5)	-
Tetrabromotrifluoropropane (HBFC-223 B4)	-
Tribromotetrafluoropropane (HBFC-224 B3)	-
Dibromopentafluoropropane (HBFC-225 B2)	431-78-7
Bromohexafluoropropane (HBFC-226 B1)	2252-78-0
Pentabromofluoropropane (HBFC-231 B5)	-
Tetrabromodifluoropropane (HBFC-232 B4)	-
Tribromotrifluoropropane (HBFC-233 B3)	-
Dibromotetrafluoropropane (HBFC-234 B2)	-
Bromopentafluoropropane (HBFC-235 B1)	460-88-8
Tetrabromofluoropropane (HBFC-241 B4)	-
Tribromodifluoropropane (HBFC-242 B3)	70192-80-2
Dibromotrifluoropropane (HBFC-243 B2)	431-21-0
Bromotetrafluoropropane (HBFC-244 B1)	679-84-5
Tribromofluoropropane (HBFC-251 B3)	75372-14-4
Dibromodifluoropropane (HBFC-252 B2)	460-25-3
Bromotrifluoropropane (HBFC-253 B1)	421-46-5
Dibromofluoropropane (HBFC-261 B2)	51584-26-0

Ozone Depleting Substances*	CAS Numbers
Bromodifluoropropane (HBFC-262 B1)	-
Bromofluoropropane (HBFC-271 B1)	1871-72-3
Dichlorofluoromethane (HCFC-21)	75-43-4
Chlorodifluoromethane (HCFC-22)	75-45-6
Chlorofluoromethane (HCFC-31)	593-70-4
Tetrachlorofluoroethane (HCFC-121)	134237-32-4
1,1,2,2-Tetrachloro-1-fluoroethane (HCFC-121)	354-14-3
1,1,1,2-Tetrachloro-2-fluoroethane (HCFC-121a)	354-11-0
Trichlorodifluoroethane (HCFC-122)	41834-16-6
1,2,2-Trichloro-1,1-difluoroethane (HCFC-122)	354-21-2
1,1,2-Trichloro-1,2-difluoroethane (HCFC-122a)	354-15-4
1,1,1-Trichloro-2,2-difluoroethane (HCFC-122b)	354-12-1
Dichlorotrifluoroethane(HCFC-123)	34077-87-7
1,1-Dichloro-2,2,2-trifluoroethane (HCFC-123)	306-83-2
1,2-Dichloro-1,1,2-trifluoroethane (HCFC-123a)	354-23-4
1,1-Dichloro-1,2,2-trifluoroethane (HCFC-123b)	90454-18-5 812-04-4
Chlorotetrafluoroethane (HCFC-124)	63938-10-3
2-chloro-1,1,1,2-tetrafluoroethane (HCFC-124)	2837-89-0
1-chloro-1,1,2,2-tetrafluoroethane (HCFC-124a)	354-25-6
Trichlorofluoroethane (HCFC-131)	27154-33-2; (134237-34-6)
1,1,2-Trichloro-2-fluoroethane (HCFC-131)	359-28-4
1,1,2-Trichloro-1-fluoroethane (HCFC131a)	811-95-0
1,1,1-Trichloro-2-fluoroethane (HCFC-131b)	2366-36-1
Dichlorodifluoroethane (HCFC-132)	25915-78-0
1,2-Dichloro-1,2-difluoroethane (HCFC-132)	431-06-1
1,1-Dichloro-2,2-difluoroethane (HCFC-132a)	471-43-2
1,2-Dichloro-1,1-difluoroethane (HCFC-132b)	1649-08-7
1,1-Dichloro-1,2-difluoroethane (HCFC-132c)	1842-05-3
Chlorotrifluoroethane (HCFC-133)	1330-45-6
1-Chloro-1,2,2-trifluoroethane (HCFC-133)	431-07-2
2-Chloro-1,1,1-trifluoroethane (HCFC-133a)	1330-45-6
1-Chloro-1,1,2-trifluoroethane (HCFC-133b)	75-88-7 421-04-5
Dichlorofluoroethane(HCFC-141)	1717-00-6; (25167-88-8)
1,2-Dichloro-1-fluoroethane (HCFC-141)	430-57-9
1,1-Dichloro-2-fluoroethane (HCFC-141a)	430-53-5
1,1-Dichloro-1-fluoroethane (HCFC-141b)	1717-00-6
Chlorodifluoroethane (HCFC-142)	25497-29-4
2-Chloro-1,1-Difluoroethane (HCFC-142)	338-65-8
1-Chloro-1,1-difluoroethane (HCFC-142b)	75-68-3
1-Chloro-1,2-difluoroethane (HCFC-142a)	338-64-7
Chlorofluoroethane (HCFC-151)	110587-14-9
1-Chloro-2-fluoroethane (HCFC-151)	762-50-5
1-Chloro-1-fluoroethane (HCFC-151a)	1615-75-4
Hexachlorofluoropropane (HCFC-221)	134237-35-7
1,1,1,2,2,3-Hexachloro-3-fluoropropane (HCFC-221ab)	29470-94-8 422-26-4
Pentachlorodifluoropropane (HCFC-222)	134237-36-8
1,1,1,3,3-pentachloro-2,2-difluoropropane (HCFC-222ca)	422-49-1
1,2,2,3,3-pentachloro-1,1-difluoropropane (HCFC-222aa)	422-30-0
Tetrachlorotrifluoropropane (HCFC-223)	134237-37-9
1,1,3,3-Tetrachloro-1,2,2-trifluoropropane (HCFC-223ca)	422-52-6
1,1,1,3-Tetrachloro-2,2,3-trifluoropropane (HCFC-223cb)	422-50-4

Ozone Depleting Substances*	CAS Numbers
Trichlorotetrafluoropropane (HCFC-224)	134237-38-0
1,3,3-Trichloro-1,1,2,2-tetrafluoropropane (HCFC-224ca)	422-54-8
1,1,3-Trichloro-1,2,2,3-tetrafluoropropane (HCFC-224cb)	422-53-7
1,1,1-Trichloro-2,2,3,3-tetrafluoropropane (HCFC-224cc)	422-51-7
Dichloropentafluoropropane (HCFC-225)	127564-92-5
2,2-Dichloro-1,1,1,3,3-pentafluoropropane(HCFC-225aa)	128903-21-9
2,3-Dichloro-1,1,1,2,3-pentafluoropropane (HCFC-225ba)	422-48-0
1,2-Dichloro-1,1,2,3,3-pentafluoropropane (HCFC-225bb)	422-44-6
3,3-Dichloro-1,1,1,2,2-pentafluoropropane (HCFC-225ca)	422-56-0
1,3-Dichloro-1,1,2,2,3-pentafluoropropane (HCFC-225cb)	507-55-1
1,1-Dichloro-1,2,2,3,3-pentafluoropropane(HCFC-225cc)	13474-88-9
1,2-Dichloro-1,1,3,3,3-pentafluoropropane (HCFC-225da)	431-86-7
1,3-Dichloro-1,1,2,3,3-pentafluoropropane (HCFC-225ea)	136013-79-1
1,1-Dichloro-1,2,3,3,3-pentafluoropropane(HCFC-225eb)	111512-56-2
Chlorohexafluoropropane (HCFC-226)	134308-72-8
2-Chloro-1,1,1,3,3,3-hexafluoro-propane (HCFC-226da)	431-87-8
Pentachlorofluoropropane (HCFC-231)	134190-48-0
1,1,1,2,3-pentachloro-2-fluoro-propane (HCFC-231bb)	421-94-3
Tetrachlorodifluoropropane (HCFC-232)	134237-39-1
1,1,1,3-Tetrachloro-3,3-difluoropropane (HCFC-232fc)	460-89-9
Trichlorotrifluoropropane (HCFC-233)	134237-40-4
1,1,1-Trichloro-3,3,3-trifluoropropane (HCFC-233fb)	7125-83-9
Dichlorotetrafluoropropane (HCFC-234)	127564-83-4
1,2-Dichloro-1,2,3,3-tetrafluoropropane (HCFC-234db)	425-94-5
Chloropentafluoropropane (HCFC-235)	134237-41-5
1-Chloro-1,1,3,3,3-pentafluoropropane (HCFC-235fa)	460-92-4
Tetrachlorofluoropropane (HCFC-241)	134190-49-1
1,1,2,3-Tetrachloro-1-fluoropropane (HCFC-241db)	666-27-3
Trichlorodifluoropropane (HCFC-242)	134237-42-6
1,3,3,Trichloro-1,1-difluoropropane (HCFC-242fa)	460-63-9
Dichlorotrifluoropropane (HCFC-243)	134237-43-7
1,1-Dichloro-1,2,2-trifluoropropane (HCFC-243cc)	7125-99-7
2,3-Dichloro-1,1,1-trifluoropropane (HCFC-243db)	338-75-0
3,3-Dichloro-1,1,1-trifluoropropane (HCFC-243fa)	460-69-5
Chlorotetrafluoropropane (HCFC-244)	134190-50-4
3-Chloro-1,1,2,2-tetrafluoropropane (HCFC-244ca)	679-85-6
1-Chloro-1,1,2,2-tetrafluoropropane (HCFC-244cc)	421-75-0
Trichlorofluoropropane (HCFC-251)	134190-51-5
1,1,3-Trichloro-1-fluoropropane (HCFC-251fb)	818-99-5
1,1,2-Trichloro-1-fluoropropane (HCFC-251dc)	421-41-0
Dichlorodifluoropropane (HCFC-252)	134190-52-6
1,3-Dichloro-1,1-difluoropropane (HCFC-252fb)	819-00-1
Chlorotrifluoropropane (HCFC-253)	134237-44-8
3-Chloro-1,1,1-trifluoropropane (HCFC-253fb)	460-35-5
Dichlorofluoropropane (HCFC-261)	134237-45-9
1,1-Dichloro-1-fluoropropane (HCFC-261fc)	7799-56-6
1,2-Dichloro-2-fluoro-propane (HCFC-261ba)	420-97-3
Chlorodifluoropropane (HCFC-262)	134190-53-7
1-Chloro-2,2-difluoropropane (HCFC-262ca)	420-99-5
2-Chloro-1,3-difluoropropane (HCFC-262da)	102738-79-4
1-Chloro-1,1-difluoropropane (HCFC-262fc)	421-02-03
Chlorofluoropropane (HCFC-271)	134190-54-8
2-Chloro-2-fluoropropane (HCFC-271ba)	420-44-0
1-Chloro-1-fluoropropane (HCFC-271fb)	430-55-7

*Note: These substances may contain further isomers that are not listed here. Isomers with CAS numbers have been included when available.

TABLE – Perchlorate Compounds

Perchlorate Compounds	CAS Numbers
Lithium perchlorate	7791-03-9
Other perchlorate compounds	-

TABLE – PFOS Compounds

PFOS Compounds	CAS Numbers
Perfluorooctane Sulfonates (PFOS) C ₈ F ₁₇ SO ₂ X, where X = OR, NR or other derivative	-

TABLE — Selected Phthalates Group 1 (BBP, DBP, DEHP)

Phthalates	EC Numbers	CAS Numbers
Butylbenzyl phthalate (BBP)	201-622-7	85-68-7
Dibutyl phthalate (DBP)	201-557-4	84-74-2
Di(2-ethylhexyl) phthalate (DEHP)	204-211-0	117-81-7

Note: The reporting requirement refers to the sum of just those substances listed above

TABLE — Selected Phthalates Group 2 (DIDP, DINP, DNOP)

Phthalates	EC Numbers	CAS Numbers
Diisodecyl phthalate (DIDP)	247-977-1	26761-40-0
	271-091-4	68515-49-1
Diisononyl phthalate (DINP)	249-079-5	28553-12-0
	271-090-9	68515-48-0
Di-n-octyl phthalate (DNOP)	204-214-7	117-84-0

Note: The reporting requirement refers to the sum of just those substances listed above

TABLE — Polybrominated Biphenyls (PBBs)

Polybrominated Biphenyls (PBBs)	CAS Numbers
Polybrominated Biphenyls	59536-65-1
Dibromobiphenyl	92-86-4
2-Bromobiphenyl	2052-07-5
3-Bromobiphenyl	2113-57-7
4-Bromobiphenyl	92-66-0
Tribromobiphenyl	59080-34-1
Tetrabromobiphenyl	40088-45-7
Pentabromobiphenyl	56307-79-0
Hexabromobiphenyl	59080-40-9
Hexabromo-1,1-biphenyl	36355-01-8
Firemaster FF-1	67774-32-7
Heptabromobiphenyl	35194-78-6
Octabromobiphenyl	61288-13-9
Nonabromobiphenyl	27753-52-2
Decabromobiphenyl	13654-09-6

TABLE — Polybrominated Diphenyl Ethers (PBDEs)

Polybrominated Diphenyl Ethers (PBDEs)	CAS Numbers
Bromodiphenyl ether	101-55-3
Dibromodiphenyl ether	2050-47-7
Tribromodiphenyl ether	49690-94-0
Tetrabromodiphenyl ether	40088-47-9
Pentabromodiphenyl ether (note: Commercially available PeBDPO is a complex reaction mixture containing a variety of brominated diphenyloxides.	32534-81-9 (CAS number used for commercial grades of PeBDPO)
Hexabromodiphenyl ether	36483-60-0
Heptabromodiphenyl ether	68928-80-3
Octabromodiphenyl ether	32536-52-0
Nonabromodiphenyl ether	63936-56-1
Decabromodiphenyl ether	1163-19-5

TABLE — Polychlorinated Biphenyls (PCBs) and specific substitutes

Polychlorinated Biphenyls (PCBs)	CAS Numbers
Polychlorinated Biphenyls (all isomers and congeners)	1336-36-3
Monomethyl-tetrachloro-diphenyl methane (Ugilec 141)	76253-60-6
Monomethyl-dichloro-diphenyl methane (Ugilec 121, Ugilec 21)	81161-70-8
Monomethyl-dibromo-diphenyl methane (DBBT)	99688-47-8

TABLE — Polychlorinated Terphenyls (PCTs)

Polychlorinated Terphenyls (PCTs)	CAS Numbers
Polychlorinated Terphenyls (all isomers and congeners)	61788-33-8

TABLE — Polychlorinated Naphthalenes

Polychlorinated Naphthalenes	CAS Numbers
Polychlorinated Naphthalenes	70776-03-3
Other polychlorinated Naphthalenes	-

TABLE— (PVC) Polyvinyl Chloride

Polyvinyl Chloride	CAS Numbers
Polyvinyl chloride (PVC)	9002-86-2
Other Polyvinyl chlorides	-

TABLE — Radioactive Substances (Radioactive Isotope)

Radioactive Substances	CAS Numbers
Uranium-238	7440-61-1
Radon	10043-92-2
Americium-241	14596-10-2
Thorium-232	7440-29-1
Cesium-137	10045-97-3
Strontium-90	10098-97-2
Other radioactive substances	-

TABLE — Refractory Ceramic Fibers, Aluminosilicate

Refractory Ceramic Fibers, Aluminiumsilicate	Index Numbers
<p>are fibres covered by index number 650-017-00-8 in Annex VI, part 3, table 3.2 of Regulation (EC) No 1272/2008 of the European Parliament and of the Council of 16 December 2008 on classification, labelling and packaging of substances and mixtures, and fulfill the two following conditions:</p> <p>a) Al₂O₃ and SiO₂ are present within the following concentration ranges:</p> <ul style="list-style-type: none"> • Al₂O₃: 43.5 – 47 % w/w, and SiO₂: 49.5 – 53.5 % w/w, or • Al₂O₃: 45.5 – 50.5 % w/w, and SiO₂: 48.5 – 54 % w/w, <p>b) fibres have a length weighted geometric mean diameter less two standard geometric errors of 6 or less micrometres (µm)</p>	650-017-00-8

TABLE — Refractory Ceramic Fibers, Zirconia Aluminosilicate

Refractory Ceramic Fibers, Aluminiumsilicate	Index Numbers
<p>are fibres covered by index number 650-017-00-8 in Annex VI, part 3, table 3.2 of Regulation (EC) No 1272/2008 of the European Parliament and of the Council of 16 December 2008 on classification, labelling and packaging of substances and mixtures, and fulfill the two following conditions:</p> <p>a) Al₂O₃, SiO₂ and ZrO₂ are present within the following concentration ranges:</p> <ul style="list-style-type: none"> • Al₂O₃: 35 – 36 % w/w, and • SiO₂: 47.5 – 50 % w/w, and • ZrO₂: 15 - 17 % w/w, <p>b) fibres have a length weighted geometric mean diameter less two standard geometric errors of 6 or less micrometres (µm)</p>	650-017-00-8

TABLE — Short Chain Chlorinated Paraffins (SCCPs)

Short Chain Chlorinated Paraffins (C10-C13)	EC Numbers	CAS Numbers
Alkanes, C10-13, chloro	287-476-5	85535-84-8
Alkanes, C10-12, chloro		108171-26-2
Alkanes, C12-13, chloro		71011-12-6
Alkanes, chloro		61788-76-9
Chlorinated polyethylene		64754-90-1
Other Short Chain Chlorinated Paraffins		-

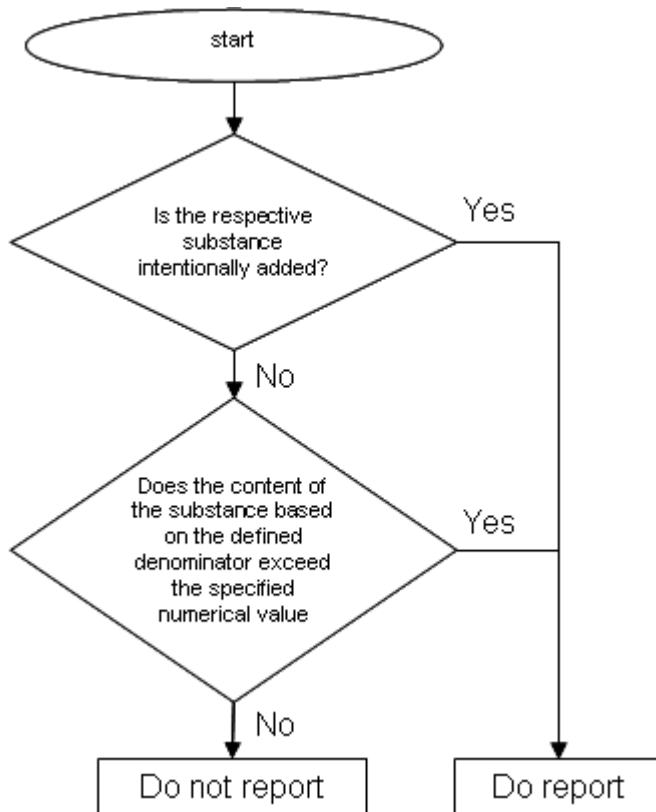
TABLE — Tri-substituted Organostannic Compounds

Tri-substituted Organostannic Compounds	CAS Numbers
Triphenyltin-N, N-dimethyldithiocarbamate	1803-12-9
Triphenyltinfluoride	379-52-2
Triphenyltinacetate	900-95-8
Triphenyltinchloride	639-58-7
Triphenyltinhydroxide	76-87-9
Triphenyltin fattyacid((9-11)salt)	18380-71-7 18380-72-8 47672-31-1 94850-90-5
Triphenyltinchloroacetate	7094-94-2
Tributyltinmethacrylate	2155-70-6
Bis(tributyltin)fumalate	6454-35-9
Tributyltinfluoride	1983-10-4
Bis(tributyltin)2,3-dibromosuccinate	31732-71-5
Tributyltinacetate	56-36-0
Tributyltinlaurate	3090-36-6
Bis(tributyltin)phthalate	4782-29-0
Copolymer of alkyl(c=8) acrylate,methyl methacrylate and tributyltin methacrylate	67772-01-4
Tributyltinsulfamate	6517-25-5
Bis(tributyltin)maleate	14275-57-1
Tributyltinchloride	1461-22-9 7342-38-3
Tributyltin cyclopentane carbonate = mixture	85409-17-2
Tributyltin-1,2,3,4,4a,4b,5,6,10,10a-decahydro-7-isopropyl-1,4a-dimethyl-1-phenanthrenecarboxylatemix	26239-64-5
Other tri-substituted organostannic compounds	-

Annex C (Normative) Dual Threshold Level and Reporting Flow Chart

Figure C-1 – Reporting flow chart

Note: This flow chart is only applied when dual thresholds are set for the same substance category with the same reportable application.



Annex D (Normative) Set of Data Fields

#	Category	Data field	Status	Description
1	DECLARATION	Response Date (timestamp date e.g. DD-MON-YYYY)	Required	The declaration contains a date and time identifier.
		Declaration note	Optional	Additional information about the declaration may be added
2	INFORMATION SENDER	Company Name	Required	The company name.
		DUNS	Optional	Dun&Bradstreet's Data Universal Numbering System. http://www.dnb.com . The D&B D-U-N-S Number is the standard for keeping track of the world's businesses. Its unique nine-digit code helps identify and link more than 60 million companies worldwide.
		Address	Optional	The address of company.
		Contact Name	Optional	The name of the contact person at the company.
		Email-Contact	Optional	Email-address for the contact person
3	PRODUCT	Product Name	Required	The item that the respondent is supplying (e.g., assembly, subassembly, component).
		Supplier's Product Number	Required	The supplier product item number
		Receiver's Product Number	Optional	The customer product item number
		Product Total Mass (g)	Required	Grams of the Product Total mass. Disclosure must be in SI units as defined in ISO 31.
4	SUBSTANCE CATEGORY/ SUBSTANCE	Substance Category/ Substance Name	Required	For substance categories/substances from Annex A if present above the threshold level
		Substance Category/ Substance present or not (Y/N) above threshold level	Optional	Provides declaration that substance category/substance is not present above threshold level in product which is declared. Allows for negative declaration.
		Substance CAS-number, or EC number or ISO Number	Conditional (note 1)	Chemicals Abstract Service Numbering System. ISO International Standards number for identifying substance. Note 1: Mandatory only for those substances listed in Table A with CAS# or EU# .
		Substance Category/ Substance Mass (g)	Required	Substance category/substance mass in grams if present above the threshold level. Disclosure must be in SI units as defined in ISO 31.
		Substance Category/ Substance (wt %)	Conditional (note 2)	Weight percentage of Substance Category/ Substance mass if present above threshold levels Note 2: Mandatory for substance categories/substances from Annex A if a) present above the threshold level and b) if threshold level specifies a different denominator than the product (e.g. material or batteries)
		Detailed Substance Information	Conditional (note 2)	Location/application information. This object may be needed for ensuring e.g., RoHS-compliance. Note 2: Mandatory for substance categories/substances from Annex A if a) present above the threshold level and b) if threshold level specifies a different denominator than the product (e.g. material or batteries)
		Detailed Substance Note	Optional	Additional information about the substance. If applicable, additional information about radioactivity, e.g. radioactivity isotope name and code, max activity Level (MBq), typical activity level (MBq)

Annex E (Informative) Examples of Material Declaration Forms

This annex provides two examples of a declaration for the same product meeting the requirements of this Guide. Example 1 represents the most basic form limited to required data fields only. Example 2 is more detailed. It lists all required fields and provides in addition the optional "negative declaration" field and the optional field on "detailed substance information".

EXAMPLE 1 — SAMPLE MATERIAL DECLARATION FORMAT WITH REQUIRED DATA FIELDS

Date: 21-JUL-2010
Company Name: Any Company
Product Name: Motor
Product Number: 001
Product Total Mass (g): 500.0 g

Substance Category Name	Substance Mass (g)	Wt%*	Detailed substance information
Lead/lead compounds	0.2	60.0	In solder
		0.1	In battery
Polyvinyl Chloride (PVC)	2.0	Not required	Not required

*Declaration of wt% is mandatory only for those substance categories that are present above the threshold level and where Annex A specifies a different denominator than the product

EXAMPLE 2 — SAMPLE MATERIAL DECLARATION FORMAT WITH OPTIONAL DATA FIELDS THAT ACHIEVES NEGATIVE DECLARATION

Date: 21-JUL-2010
Company Name: Any Company
Product Name: Motor
Product Number: 001
Product Total Mass (g): 500.0g

Criteria 1 – R (Regulated) Substance Declaration:

Substance Category Name	Substance Category present (Y/N) above threshold level	Substance Mass (g)	Wt%*	Detailed Substance Information
Asbestos	N			
Azocolorants and azodyes which form certain aromatic amines	N			
Boric acid	N			
Cadmium/Cadmium compounds	N			
Chromium VI compounds	N			
Cobalt dichloride (CoCl ₂)	N			
Diarsenic pentoxide	N			
Diarsenic trioxide	N			
Dibutyltin (DBT) compounds	N			
Diocetyl tin (DOT) compounds	N			
Dimethyl fumarate	N			
Disodium tetraborate, anhydrous	N			
Fluorinated greenhouse gases (PFC, SF ₆ , HFC)	N			
Formaldehyde	N			
Hexabromocyclododecane (HBCDD) and all major diastereoisomers	N			

Substance Category Name	Substance Category present (Y/N) above threshold level	Substance Mass (g)	Wt%*	Detailed Substance Information
Lead/lead Compounds	Y	0.2	60.0	In Solder
			0.1	In battery
Lead chromate	N			
Lead chromate molybdate sulphate red (C.I. Pigment Red 104)	N			
Lead sulfochromate yellow (C.I. Pigment Yellow 34)	N			
Mercury/Mercury Compounds	N			
Nickel	N			
Ozone Depleting Substances	N			
Perchlorates	N			
Perfluorooctane sulfonate (PFOS)	N			
Phenol,2-(2H-benzotriazol-2-yl)-4,6-bis(1,1-dimethylethyl)	N			
Bis (2-ethylhexyl) phthalate (DEHP)	N			
Dibutyl phthalate (DBP)	N			
Benzyl butyl phthalate (BBP)	N			
Diisobutyl phthalate (DIBP)	N			
Selected Phthalates Group 1 (BBP, DBP, DEHP)	N			
Selected Phthalates Group 2 (DIDP, DINP, DNOP)	N			
Polybrominated Biphenyls (PBBs)	N			
Polybrominated Diphenylethers (PBDEs)	N			
Polychlorinated Biphenyls (PCBs) and specific substitutes	N			
Polychlorinated Terphenyls (PCTs)	N			
Polychlorinated Naphthalenes (more than 3 chlorine atoms)	N			
Radioactive Substances	N			
Refractory Ceramic Fibres, Aluminosilicate	N			
Refractory Ceramic Fibres, Zirconia Aluminosilicate	N			
Shortchain Chlorinated Paraffins (C10 – C13)	N			
Tetraboron disodium heptaoxide, hydrate	N			
Tri-substituted organostannic compounds	N			
Tributyl Tin Oxide (TBTO)	N			
Tris (2-chloroethyl) phosphate(TCEP)	N			

*Declaration of wt% is mandatory only for those substance categories that are present above the threshold level and where Annex A specifies a different denominator than the product

Criteria 2 – A (For Assessment Only) Substance Declaration:

Substance Category Name	Substance Category present or not (Y/N) above threshold level	Substance Mass (g)	Detailed Substance Information
Not Applicable – (JIG-101 Ed. 3.1 does not specify substances that meet Criteria 2-A)			

Criteria 3 – I (For Information Only) Substance Declaration:

Substance Category Name	Substance Category present or not (Y/N) above threshold level	Substance Mass (g)	Detailed Substance Information
Beryllium Oxide (BeO)	N		
Brominated Flame Retardants (other than PBBs, PBDEs, or HBCDD)	N		
Polyvinyl Chloride (PVC)	Y	2.0	Cables

EXAMPLES OF OTHER MATERIAL DECLARATION FORMATS:

For examples of other material declaration format and tools, see:

JGPSSI – <http://www.jgpssi.jp/>

RosettaNet – <http://www.rosettanet.org>

IPC 1752 – www.ipc.org/ipc-175x

Annex F (Informative) REACH Screening Methodology

EU regulation on Registration, Evaluation, Authorization and Restriction of Chemicals (REACH) entered into force on June 1, 2007. REACH Article 33 requires certain chemical information to be supplied to recipients and consumers and Article 7.2 requires notification to the European Chemicals Agency (ECHA) on articles containing substances of very high concern (SVHC) listed on the candidate list. The first candidate list was published on Oct. 28, 2008. If a substance on the candidate list exceeds the concentration of 0.1 % weight in the article, the recipient needs to be informed immediately. If in addition, the quantity of such a substance contained in all articles of a legal entity exceeds the tonnage of 1 ton per year, notification to ECHA becomes mandatory (as of June 1, 2011).

As REACH regulates chemical across all industries, not all of the substances publicized on the candidate list occur in products of the electrotechnical industry. Therefore, this Guide introduces a generic screening methodology. This screening methodology allows identifying only those substances that are relevant for the electrotechnical industry product disclosure.

The following three criteria and point scores are provided as conditions for indentifying relevant declarable substances using this screening method.

- 1) Substance is identifiable by a CAS number, an EC number or an index number (1 point). Substances that do not meet this criterion will score “-10.”
- 2) Substance potentially remains in the product after its production (1 point). This criterion eliminates manufacturing substances that are not part of final product (e.g., substances that represent a gas or liquid). Substances that do not meet this criterion will score “-10.”
- 3) Application information exists indicating the use of the substance in products of the electrotechnical industry (add 1 point to the score for each type of application). Such information is gathered from public databases relating to chemical substances which are readily accessible and information contained in REACH related EU member countries’ documentation such as the Dossier (when Dossier is available). Then, technical knowledge of industry chemical experts is applied to determine known historical and/or existing uses of the substance in electrotechnical products.

Only substances that score with “1” for the first two criteria can have further scores for criterion 3). Substances with a total score of 3 points or higher are included in the JIG declarable substance list after final review and regional approval.

The above described generic screening method was applied to the candidate list (with entries from October 28, 2008, January 13, 2010 & June 18, 2010) resulting in 19 substances that were found to meet the relevancy criteria. These 19 substances are listed under Criteria 1-R in Annex A (Table A), indicating that there is an immediate legal obligation for reporting.

In order to allow the users of this Guide to proactively prepare for REACH the screening was also applied to the Registry of Intentions (considering those entries until November 2009) and to those substances from previous consultations (June 30, 2008 and Aug. 31, 2009) that did not move to the candidate list. None of those 6 substances passed the screening to meet the Criteria 2-A, and thus none of them appears in Annex A.

Figure F-1 shows the results of the screening method when applied to 38 substances of the REACH candidate list. Figure F-2 shows the results when applied to the 5 substances of the Registry of Intentions and to 1 substance from a previous consultation. The substances that meet the screening criteria are highlighted by “YES” in the rightmost column.

Note: Supplier information provided based on this “JIG REACH screening list” does not guarantee compliance with the REACH Regulation for the Article 33 communication and Article 7.2 notification duty for articles. Please consult with legal counsel regarding compliance.

Figure F-1 JIG REACH Screen Process Score Sheet Candidate List

Substance Name	CAS #	EC #	Index #	date	Included into Candidate list on	N/A	CAS # or EC # or Index #	Does not remain in product	Remains in product	Application (Positive factor for Electrotechnical Products)																Total	Add to JIG - Passed REACH Screen
										colorant dye	Surface Finish (ink, paint, plating)	surfactant/lubricating	anti-oxidant	Dielectrics additive	Wood preservative	metals/ metal alloys additive	Textiles/Fibers additive and/or fibers	Glass/Ceramic additive and/or fibers	Additive of plastic, rubber, foam and other polymers					Others			
																			photo degradation prevention	flame retardant	plasti-cizer	filler	other additive (eg. curing agents, etc)				
2,4-Dinitrotoluene	121-14-2	204-450-0		13.01.2010		-10	1	-10	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	2			
5-tert-butyl-2,4,6-trinitro-m-xylene (musk xylene)	81-15-2	201-329-4		28.10.2008			1		1															2			
4,4'- Diaminodiphenylmethane	101-77-9	202-974-4		28.10.2008			1	-10																-9			
Acrylamide	79-06-1	201-173-7		30.03.2010			1	-10																-9			
Alkanes, C10-13, chloro (Short Chain Chlorinated Paraffins)	85535-84-8	287-476-5		28.10.2008			1		1	1								1	1					5	Yes		
Aluminosilicate Refractory Ceramic Fibres	-		650-017-00-8	13.01.2010			1		1							1								3	Yes		
Ammonium dichromate	7789-09-5	232-143-1		18.06.2010			1	-10																-9			
Anthracene	120-12-7	204-371-1		28.10.2008			1		1															2			
Anthracene oil	90640-80-5	292-602-7		13.01.2010			1		1															2			
Anthracene oil, anthracene paste	90640-81-6	292-603-2		13.01.2010			1		1															2			
Anthracene oil, anthracene paste, anthracene fraction	91995-15-2	295-275-9		13.01.2010			1		1															2			
Anthracene oil, anthracene paste, distn. lights	91995-17-4	295-278-5		13.01.2010			1		1															2			
Anthracene oil,anthracene-low	90640-82-7	92-604-8		13.01.2010			1		1															2			
Benzyl butyl phthalate (BBP)	85-68-7	201-622-7		28.10.2008			1		1	1									1		1			6	Yes		
Bis (2-ethylhexyl)phthalate (DEHP)	117-81-7	204-211-0		28.10.2008			1		1	1				1					1					6	Yes		
Bis(tributyltin)oxide	56-35-9	200-268-0		28.10.2008			1		1	1				1						1				5	Yes		
Boric Acid	10043-35-3, 11113-50-1	233-139-2, 234-343-4		18.06.2010			1		1					1									1	4	Yes		
Cobalt dichloride	7646-79-9	231-589-4		28.10.2008			1		1														1 (Note 1)	3	Yes		
Diarsenic pentaoxide	1303-28-2	215-116-9		28.10.2008			1		1	1				1	1			1						7	Yes		
Diarsenic trioxide	1327-53-3	215-481-4		28.10.2008			1		1	1				1	1			1				1		8	Yes		
Dibutyl phthalate (DBP)	84-74-2	201-557-4		28.10.2008			1		1	1				1					1		1			8	Yes		
Diisobutyl phthalate (DIBP)	84-69-5	201-553-2		13.01.2010			1		1										1					3	Yes		
Disodium tetraborate, anhydrous	1303-96-4, 1330-43-4, 12179-04-3	215-540-4		18.06.2010			1		1					1									1	4	Yes		
Hexabromocyclododecane (HBCDD) and all major diastereoisomers identified (α-HBCDD, β-HBCDD, γ-HBCDD)	(134237-50-6, 134237-51-7, 134237-52-8)	247-148-4 and 221-695-9		28.10.2008			1		1									1						3	Yes		
Lead chromate	7758-97-6	231-646-0		13.01.2010			1		1	1														4	Yes		
Lead chromate molybdate sulphate red (C.I. Pigment Red 104)	12656-85-8	235-759-9		13.01.2010			1		1	1														4	Yes		
Lead hydrogen arsenate	7784-40-9	232-064-2		28.10.2008			1		1															2			
Lead sulfochromate yellow (C.I. Pigment Yellow 34)	1344-37-2	215-693-7		13.01.2010			1		1	1														4	Yes		
Pitch, coal tar, high temperature	65996-93-2	266-028-2		13.01.2010			1		1															2			
Potassium chromate	7789-00-6	232-140-5		18.06.2010			1	-10																-9			
Potassium dichromate	7778-50-9	231-906-6		18.06.2010			1	-10																-9			
Sodium chromate	7775-11-3	231-889-5		18.06.2010			1	-10																-9			
Sodium dichromate	7789-12-0, 10588-01-9	234-190-3		28.10.2008			1	-10																-9			
Tetraboron disodium heptaoxide, hydrate	12287-73-1	235-541-3		18.06.2010			1		1					1									1	4	Yes		
Trichloroethylene	79-01-6	201-167-4		18.06.2010			1	-10																-9			
Triethyl arsenate	15606-95-8	427-700-2		28.10.2008			1		1															2			
Tri(2-chloroethyl)phosphate (TCEP)	115-96-8	204-118-5		13.01.2010			1		1									1						3	Yes		
Zirconia Aluminosilicate Refractory Ceramic Fibres	-		650-017-00-8	13.01.2010			1		1							1								3	Yes		
Total																									19		

Note 1: Cobalt Dichloride application is moisture detection

Figure F-2 JIG REACH Screen Process Score Sheet
Registry of Intentions and Substances from Previous Consultations that are not on the Candidate List

Substance Name	CAS #	EC #	Index #	date	Notified as intention	N/A	CAS # or EC # or Index #	Does not remain in product	Remains in product	Application (Positive factor for Electrotechnical Products)													Total	Add to JIG - Passed REACH Screen		
										colorant /dye	Surface Finish (ink, paint, plating)	surfactant/ lubricating	anti-oxidant	Dielectrics additive	Wood preservative	metals/ metal alloys	Textiles/ Fibers	Glass/ Ceramic	Additive of plastic, rubber, foam and other polymers						Others	
Arsenic acid and its salts			033-005-001	30.04.2009			-10	1	-10	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	2	
Residues (coal tar), pitch distn.	92061-94-4	295-507-9		27.06.2008			1		1																2	
Distillates (coal tar), heavy oils	90640-86-1	292-607-4		27.06.2008			1		1																2	
Distillates (coal tar), heavy oils, pyrene fraction	91995-42-5	295-304-5		27.06.2008			1		1																2	
Distillates (coal tar), pitch, pyrene fraction	91995-52-7	295-313-4		27.06.2008			1		1																2	
Cyclododecane	294-62-2	206-33-9		30.06.2008			1		1																2	
																							Total	0		

Annex G (Informative) JIG Update Process

Due to the changing regulatory and industry substance declaration requirements, this Guide will be updated on an annual basis. The maintenance process is discussed below.

During the maintenance process, the general document and its Annexes will be reviewed. A special focus will be put on the JIG declarable substance list to ensure that it is up to date, including a review of the:

- Declarable substance list (Annex A): addition, modification or deletion;
- Detailed substance list (Annex B): addition, modification or deletion; and
- Revision of the threshold levels.

Organizational structure

- International JIG Steering Committee (SC):
 - a. Composed of representatives from CEA, DIGITALEUROPE & JGPSSI
 - b. SC members will be the Representative, Deputy Representative and Revision Review Team Leader for each region
 - c. The Steering Committee Secretariat will be established at CEA.
- Revision Review Teams (RRT):
 - a. Will be formed individually by CEA, DIGITALEUROPE and JGPSSI. These 3 associations have regional responsibilities (CEA - US, DIGITALEUROPE - Europe and JGPSSI – Japan) and are accountable for the member composition in their RRT;
 - b. Will include Participation by stakeholders for each region (participation will be left to the discretion of each regional association); and
 - c. Will have a leading role for legislative updates within their region.

Annual revision process and proposed schedule

- Review by the Revision Review Team in each region (May – July annually)
 - Minutes of each Revision Review Team will be shared with other regions
- Creation of Revision Request Forms (July – August annually)
 - Completed individually by each regional Revision Review Team and focusing on regional responsibility. Results will be shared with other regional teams by end of August
- Review of the Revision Request Form (September – November annually)
 - Cross regional review and opinion exchange on submitted Revision Request Forms
 - Preliminary decisions on submitted Revision Request Forms will be prepared by conferences of the Steering Committee
- International Steering Committee Meeting (December annually)
 - Objective of this meeting will be to examine the results of each organization's Revision Request Form review and reach a final conclusion
 - Venue of this meeting should be rotated among the regions
- Editing of the Revised JIG (January annually)
 - CEA Secretariat will edit revised JIG reflecting the decisions of the SC.
- Regional approval of the Revised JIG (February annually)
 - Approval process is left to the discretion of the leading association
- Release of the Revised JIG (March annually)
 - CEA Secretariat will release the revised JIG on March 15
 - CEA Secretariat will issue a joint press release

NOTE: Annexes can be modified or added as interim updates following a condensed review process and an accelerated balloting schedule. Example: Modification of Annex A based on legal developments that require immediate maintenance action. All other maintenance actions should follow the regular annual maintenance process as described above. JIG revisions will be called JIG -101 Ed. x.y. where “x” refers to the annual update and “y” specifies the interim update.

Annex H (Informative) JIG Revision History

This annex lists the key milestones in the development of the JIG and summarizes the changes made.

JIG Revision #	Date	Summary of Updates
JIG -101	April 2005	<ul style="list-style-type: none"> • First guide of this series. • Concept of two criteria: regulated (Level A) and market need (Level B).
JIG -101A	Sept. 2007	<ul style="list-style-type: none"> • Minimal changes to the declarable substance list and its annexes. Mainly regulatory updates like the addition of Polychlorinated Terphenyls (PCT) and a revised reporting threshold for Nickel as well as some editorial changes. • Material/substance, thresholds levels, legal and regulatory information and examples of use have been combined from several annexes for both level A & B to enhance readability. • Threshold level and reporting level flow charts have been added to further explain the concept of dual reporting levels (numerical threshold or “intentionally added”) a concept already introduced in JIG-101 but not understood by all users.
JIG -101 Ed 2.0	April 2009	<ul style="list-style-type: none"> • Batteries are now explicitly covered by JIG-101. Specific threshold levels for this application have been added to Table A. • When legislation restricts substances only in specific reportable applications, such reportable applications are specified; Reporting is required only when the product declared falls under the reportable applications. • Regulatory updates triggered the revision of JIG-101 declarable substance list: Fluorinated Greenhouse Gases, Formaldehyde, Perchlorates, Perfluorooctanesulfonate, Phenol,2-(2H-benzotriazol-2-yl)-4,6-bis(1,1-dimethylethyl), have been added, and some threshold levels have been adapted. • Criteria for determining the threshold level have been added. • Three criteria now determine if substances shall be declared. These revised criteria replace the former criteria for level A and level B disclosure. The criteria have been revised to act more proactively and with a broad industry consensus. • All declarable substances appear in single list. This JIG-101 declarable substance list records also the rationale for inclusion (criteria 1 - R (Regulated), criteria 2 – A (For Assessment Only), criteria 3 – I (For Information Only)).

JIG Revision #	Date	Summary of Updates
		<ul style="list-style-type: none"> • European REACH regulation (EC 1907/2006) entered into force on June 1, 2007. With respect to electrotechnical products, Article 7.2 (Notification) and Article 33 (Information communication) both require knowledge of substances of very high concern (SVHC) listed on the REACH candidate list that are contained in the products above 0.1% by weight. To identify SVHCs that are relevant for the electrotechnical industry, a generic scientific screening methodology has been established and included in this Guide. Substances that are determined to be relevant for disclosure due to the application of this screening methodology to the candidate list published on Oct. 28, 2008 and the Registry of Intentions (ROI) have been added to Annex A. The inclusion of relevant substances from the ROI offers JIG-101 users the possibility to proactively prepare for REACH as these substances may be transferred to the candidate list in the future. • Maintenance chapter added, detailing the regular update cycle that will be applied to the future annual revisions of JIG-101. • History chapter added.
JIG-101 Ed. 3.0	March 2010	<ul style="list-style-type: none"> • Criteria 1 has been modified and the limitation to 24 months has been removed • Regulatory updates triggered the revision of JIG-101 declarable substance list: Cobalt dichloride, dibutyltin/dioctyltin compounds, trisubstituted organostannic compounds and dimethyl fumarate have been added. The separate entry of Decabromodiphenylether (DecaBDE) for TV/monitor housing has been removed based on legislative changes. DecaDBE is now covered under the generic PBDE entry. • Annex F – REACH Screening methodology has been refined • The modified screening has been applied to the candidate list (with entries from Oct. 28, 2008 & Jan. 13, 2010), the Registry of Intentions (considering those entries until November 2009) and to those substances from previous consultations that have not been transferred to the candidate list. In total 7 additional substances have been identified to be relevant for the EE industry and added to Annex A. • Clarification added, that for substances with threshold levels referring to materials or specific applications more detailed reporting is required
JIG-101 Ed. 3.1	Sep 2010	<ul style="list-style-type: none"> • Interim update to address those substances that newly entered the REACH candidate list from June 18, 2010

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